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Substitute Reference 1449/PTO  
TRADEMARKSINFORMATION DISCLOSURE  
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## Complete if Known

Application Number	10/573,728
Filing Date	March 28, 2006
First Named Inventor	Peter C. Williams et al.
Art Unit	not yet assigned
Examiner Name	not yet assigned
Attorney Docket Number	22188/07113

## U. S. PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Document Number Number-Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		US- 771,682	10/1904	Sussman	
		US- 791,905	06/1905	Higginbotham	
		US- 1,058,542	04/1913	Brown	
		US- 1,334,130	03/1920	Blanchard	
		US- 1,755,210	04/1930	Dohner	
		US- 1,889,778	12/1932	Dobrick	
		US- 1,927,464	09/1933	McIntosh	
		US- 1,983,840	12/1934	Dohner	
		US- 2,083,091	06/1937	Rector	
		US- 2,127,611	08/1938	Mueller	
		US- 2,139,413	12/1938	Kreidel	
		US- 2,150,042	03/1939	Shultz	
		US- 2,152,537	03/1939	Couty	
		US- 2,165,626	07/1939	Ford	
		US- 2,179,127	11/1939	Lauer	
		US- 2,284,216	05/1942	Kunkel	
		US- 2,333,470	11/1943	Cowles	
		US- 2,405,822	08/1946	Franck	
		US- 2,406,488	08/1946	Brock	

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		CH 429,329	01/31/1967	Guettlinger & Co.		
		DE 20008110	01/18/01	Armaturewerk Hoeptensleben		
		DE 4041679	06/1992	Voss Automotive		
		EP 34493	08/1981	Gould Inc.		
		EP 118656	06/1986	Firma Franz Viegener		
		EP 205170	12/1986	Hewlett-Packard		

Examiner Signature	/James Hewitt/ (06/02/2009)	Date Considered	06/02/2009
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Art Unit	not yet assigned
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		Number-Kind Code <sup>2</sup> (if known)			
		US-2,450,170	09/1948	Smith	
		US-2,452,276	09/1948	Woodling	
		US-2,460,621	02/1949	Courtot	
		US-2,460,635	02/1949	Herold	
		US-2,474,178	06/1949	Wurzburger	
		US-2,497,274	02/1950	Richardson	
		US-2,508,763	05/1950	Mercier	
		US-2,511,134	06/1950	Stranberg	
		US-2,544,108	03/1951	Richardson	
		US-2,544,109	03/1951	Richardson	
		US-2,553,981	05/1951	Richardson	
		US-2,561,648	07/1951	Bradley	
		US-2,579,529	12/1951	Woodling	
		US-2,641,489	06/1953	Hedberg, Jr.	
		US-2,693,374	11/1954	Wurzburger	
		US-2,738,994	03/1956	Kreidel et al.	
		US-2,755,110	07/1956	Jacobs	
		US-2,761,704	09/1956	Crawford	
		US-2,768,845	10/1956	Samiran	

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		Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)				
		EP 224188	06/1987	Mitsui & Co.		
		EP 285677	12/1992	Manibs		
		EP 309179	03/1989	Parker Hannifin		
		EP 371063	02/1992	George Fischer/ Castings		
		EP 396367	01/1993	Price Pfister		
		EP 441676	06/1996	Radiall Societe		

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Art Unit	not yet assigned
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		US- 2,823,935	02/1958	Wurzburger	
		US- 2,935,339	05/1960	Frederick	
		US- 3,006,558	10/1961	Jacobs	
		US- 3,007,721	11/1961	Schmohl et al.	
		US- 3,025,084	03/1962	Franck	
		US- 3,120,969	02/1964	Schmohl	
		US- 3,139,293	06/1964	Franck	
		US- 3,167,333	01/1965	Hall et al.	
		US- 3,195,933	07/1965	Jacobs	
		US- 3,215,457	11/1965	Teeters	
		US- 3,248,136	04/1966	Brozek et al.	
		US- 3,275,350	09/1966	Kody et al.	
		US- 3,290,062	12/1966	Zihrl et al.	
		US- 3,290,092	12/1966	Howard	
		US- 3,319,293	05/1967	Gollos	
		US- 3,325,192	06/1967	Sullivan	
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		EP 484091	05/1992	Crawford Fitting		
		EP 489289	05/1994	Parker-Ermeto		
		EP 523020	02/1996	AB Stacke		
		EP 528079	02/1993	Aeroquip		
		EP 531068	03/1993	Victaulic PLC		
		EP 581408	02/1994	General Electric		

Examiner Signature	/James Hewitt/ (06/02/2009)	Date Considered	06/02/2009
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Filing Date	March 28, 2006
First Named Inventor	Peter C. Williams et al.
Art Unit	not yet assigned
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		US- 3,454,290	07/1969	Tairraz	
		US- 3,512,812	05/1970	Kreidel et al.	
		US- 3,565,457	02/1971	Haldopoulos et al.	
		US- 3,580,617	05/1971	Ehrenberg et al.	
		US- 3,684,322	08/1972	Kotsakis	
		US- 3,695,647	10/1972	Pugliese	
		US- 3,708,186	01/1973	Takagi et al.	
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		US- 3,895,832	07/1975	Ellis et al.	
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		US- 3,970,337	07/1976	Niemeyer	
		US- 3,977,708	08/1976	Jopp	
		US- 3,992,043	11/1976	Whitley	
		US- 4,022,497	05/1977	Kotsakis	
		US- 4,026,581	05/1977	Pasbrig	

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		EP 583707	03/1996	Anton Hummel		
		EP 638752	02/1995	Sil-Sang Park		
		EP 751334	01/1997	Guest		
		EP 762003	03/1997	Jessen		
		EP 863354	09/1998	Armaturenfabrik		
		EP 879980	11/1998	EM-Technik		

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		US- 4,072,328	02/1978	Elliot
		US- 4,076,286	02/1978	Spontelli
		US- 4,133,312	01/1979	Burd
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		US- 4,136,896	01/1979	Rodman et al.
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		US- 4,169,967	10/1979	Bachle
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		US- 4,304,422	12/1981	Schwarz
		US- 4,309,050	01/1982	Legris
		US- 4,343,456	08/1982	Zitzloff
		US- 4,458,927	07/1984	Smith
		US- 4,483,555	11/1984	Ludwig
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		EP 895014	02/1999	Parker Hannifin
		EP 940617	09/1999	Insigne-Berg
		EP 1020675	07/2000	F.X. Bachmann
		EP 1033518	09/2000	Glynwed Pipe
		EP 1055859	11/2000	Tokai Rubber
		EP 1302714	04/16/2003	Kueller

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		US- 4,523,256	06/1985	Small
		US- 4,529,231	07/1985	Greenawalt
		US- 4,538,836	09/1985	Kruttin
		US- 4,538,842	09/1985	Kowal et al.
		US- 4,541,537	09/1985	Sailor
		US- 4,556,242	12/1985	Kowal et al.
		US- 4,586,731	05/1986	Castrup
		US- 4,630,851	12/1986	Ogawa
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		US- 5,074,599	12/1991	Wirbel et al.

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		FR 2697893	05/13/1994	Goavec SA		
		GB 1057900	02/1967	Desilles		
		GB 2032555	05/1980	Olov Magnus		
		GB 2080900	02/1982	Waverley		
		GB 2258897	02/1993	AR Davies		
		GB 2259123	07/1995	Victaulic		

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Application Number	10/573,728
Filing Date	March 28, 2006
First Named Inventor	Peter C. Williams et al.
Art Unit	not yet assigned
Examiner Name	not yet assigned
Attorney Docket Number	22188/07113

U. S. PATENT DOCUMENTS				
Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
		Number/Kind Code <sup>2</sup> (if known)		
		US- 5,114,190	05/1992	Chalmers
		US- 5,134,676	07/1992	Boillot et al.
		US- 5,163,722	11/1992	Worden
		US- 5,193,616	03/1993	Hynes
		US- 5,217,261	06/1993	DeWitt et al.
		US- 5,240,289	08/1993	Gottling et al.
		US- 5,335,946	08/1994	Dent et al.
		US- 5,351,998	10/1994	Behrens et al.
		US- 5,439,259	08/1995	Taga et al.
		US- 5,489,127	02/1996	Anglin et al.
		US- 5,607,194	03/1997	Ridenour
		US- 5,658,025	08/1997	Ridenour
		US- 5,711,549	01/1998	Beans
		US- 5,716,082	02/1998	Vogel et al.
		US- 5,718,459	02/1998	Davie et al.
		US- 5,823,256	10/1998	Moore
		US- 5,954,375	09/1999	Trickle et al.
		US- 5,961,160	10/1999	Frohlich
		US- 6,007,112	12/1999	Kim

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Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
		Country Code <sup>3</sup> *Number *Kind Code <sup>4</sup> (if known)		
		JP 4420050	12/1909	Omaku KK
		JP 461462	01/1971	Mitsubishi KK
		JP 497217	09/1972	Mitsubishi KK
		JP 50140010	12/1975	Shimai Kokusai
		JP 5420017	01/1976	Kubota Ltd.
		JP 54- 17210	02/1976	Takemoto Yarn

Examiner Signature	/James Hewitt/ (06/02/2009)	Date Considered	06/02/2009
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INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT

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Sheet 18

of 17

## Complete if Known

Application Number	10/573,728
Filing Date	March 28, 2006
First Named Inventor	Peter C. Williams et al.
Art Unit	not yet assigned
Examiner Name	not yet assigned

Attorney Docket Number 22188/07113

## U. S. PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Document Number Number-Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		US- 6,022,053	02/2000	Hkuda	
		US- 6,039,361	03/2000	Meli	
		US- 6,045,165	04/2000	Nishio	
		US- 6,073,976	06/2000	Schmidt et al.	
		US- 6,089,620	07/2000	Mota Lopez et al.	
		US- 6,093,303	07/25/2000	Williams et al.	
		US- 6,102,449	08/2000	Welsh	
		US- 6,109,660	08/2000	Akiyama et al.	
		US- 6,123,364	09/2000	Inoue et al.	
		US- 6,131,963	10/2000	Williams et al.	
		US- 6,165,597	12/26/2000	Williams et al.	
		US- 6,168,211	01/2001	Schorn-Gilson	
		US- 6,173,995	01/2001	Mau	
		US- 6,179,348	01/2001	Okamoto	
		US- 6,279,242	08/2001	Williams et al.	
		US- 6,361,083	03/2002	Riesselman et al.	
		US- 6,438,814	08/2002	Seymour et al.	
		US- 6,461,448	10/08/2002	Williams et al.	
		US- 6,547,888	04/15/2003	Williams et al.	

## FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T <sup>6</sup>
		JP 55159392	12/1980	Neil Holdings		
		JP 65 181082	12/1980	Tokyo Chibaure		
		JP 5621679	02/1981	Sugio Mach		
		JP 5666596	06/1981	Eruimoto Arumetouren		
		JP 56124787	09/1981	Michioku Rowace		
		JP 57202084	12/1982	Yael Kagaku		

Examiner Signature /James Hewitt/ (06/02/2009) Date Considered 06/02/2009

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## **INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

*(Use as many sheets as necessary)*

Sheet 9 of 17

**Complete if Known**

Application Number	10/573,728
Filing Date	March 28, 2006
First Named Inventor	Peter C. Williams et al.
Art Unit	not yet assigned
Examiner Name	not yet assigned
Attorney Docket Number	22188/07113

Sheet 9 of 17

**U. S. PATENT DOCUMENTS**

## FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document Country Code <sup>2</sup> /Number <sup>4</sup> /Kind Code <sup>5</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T <sup>6</sup>
	JP 50-6488		01/1984	Fuji Kinzoku		
	JP 60-130088		07/1985	II Teii II KK		
	JP 61-28886		05/1986	Toyo Valve		
	JP 61-00790		05/1986	Fuji Bellevue		
	JP 61-105291		05/1986	Nippon Pillar		
	JP 5712887		01/1987	Fujisalvo KK		

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## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet 10 of 17

<i>Complete if Known</i>	
Application Number	10/573,728
Filing Date	March 28, 2006
First Named Inventor	Peter C. Williams et al.
Art Unit	not yet assigned
Examiner Name	not yet assigned
Attorney Docket Number	22188/07113

**U. S. PATENT DOCUMENTS**

## FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T <sup>6</sup>
	JP 03231080		09/1988	Tomo Kasei		
	JP 1054599		11/1989	Nec Corp.		
	JP 3 60026		10/1991	Fuselo KG		
	JP U30000026		05/2000			
	JP 3288091		12/1991	Fujitsu Ltd.		
	JP 4023157		04/1992	Fujitsu Ltd.		

Examiner Signature	/James Hewitt/ (06/02/2009)	Date Considered	06/02/2009
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## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet 11 of 17

<i>Complete if Known</i>	
Application Number	10/573,728
Filing Date	March 28, 2006
First Named Inventor	Peter C. Williams et al.
Art Unit	not yet assigned
Examiner Name	not yet assigned
Attorney Docket Number	22188/07113

**U. S. PATENT DOCUMENTS**

## FOREIGN PATENT DOCUMENTS

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Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear
		Country Code <sup>2</sup> *Number <sup>4</sup> *Kind Code <sup>5</sup> (if known)			T <sup>6</sup>
		JP 4-69488	03/1994	KK Furoueru	
		JP 6174171	06/1994	Nippon Pillar	
		JP 7243664	09/1995	Zetel Corp.	
		JP 11201347	07/1999	Nippon Kokan	
		JP 11325342	11/1999	Ihara Science	
		JP 2000097377	04/2000	CKD Corp.	

Examiner  
Signature: James Hewitt/ (06/02/2009)

**Date Considered**

06/02/2009

<sup>4</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>5</sup>Applicant's unique citation designation number (optional). <sup>6</sup>See Kind Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>7</sup>Enter the Office that must issue the document, by the two-letter code (WIPO Standard ST.3). <sup>8</sup>For Japanese patent documents, the indication of the year of the Emperor must precede the serial number of the patent document. <sup>9</sup>Kind of document by the appropriate symbol as indicated on the document under WIPO Standard ST.16 if possible. <sup>10</sup>Applicant is to place a check mark here if English language Translation is attached.

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Sheet 12 of 17

**Complete if Known**

Application Number	10/573,728
Filing Date	March 28, 2006
First Named Inventor	Peter C. Williams et al.
Art Unit	not yet assigned
Examiner Name	not yet assigned
Attorney Docket Number	22188/07113

## U. S. PATENT DOCUMENTS

## FOREIGN PATENT DOCUMENTS

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	JP 2000110975		04/2000	Takei Kojo		
	JP 2000170966		06/2000	Mikuni Plast		
	JP 200109360		04/2001	Komei		
	JP 200109337		04/2001	Komei		
	JP 2001141169		05/2001	Nippon Pillar		
	KR 100200008207		05/1992			

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## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet 13 of 17

**Complete if Known**

Application Number	10/573,728
Filing Date	March 28, 2006
First Named Inventor	Peter C. Williams et al.
Art Unit	not yet assigned
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**U. S. PATENT DOCUMENTS**

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						Text	Image
		KR-19930006360	04/1993				
		KR-20010006382	01/2001				
		KR-200239292	07/2001				
		WO 84/00796	03/1984	Iwanicki			
		WO 88/04385	06/1988	George Fischer			
		WO 89/09904	10/18/1989	Nords Verkstads			

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Sheet 14 of 17

<i>Complete if Known</i>	
Application Number	10/573,728
Filing Date	March 28, 2006
First Named Inventor	Peter C. Williams et al.
Art Unit	not yet assigned
Examiner Name	not yet assigned
Attorney Docket Number	2218R/07113

**U. S. PATENT DOCUMENTS**

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**FOREIGN PATENT DOCUMENTS**

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		Country Code <sup>4</sup> * Number <sup>4</sup> * Kind Code <sup>5</sup> (if known)			T+
		WO 89/12190	12/14/1989	Weber	
		WO 93/24780	12/09/1993	The Deutsch Company	
		WO 93/25837	12/23/1993	Lehmann	
		WO 94/23234	10/13/1994	Salyers	
		WO 97/07356	02/27/1997	Frohlich	
		WO 97/14905	04/24/1997	Avesta Nords	

Examiner

/James Hewitt/ (06/02/2009)

Date

06/02/2009

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**Substitute for form 1449/PTC**

## **INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

*(Use as many sheets as necessary)*

Sheet 15

of 17

**Complete if Known**

Application Number	10/573,728
Filing Date	March 28, 2006
First Named Inventor	Peter C. Williams et al.
Art Unit	not yet assigned
Examiner Name	not yet assigned
Attorney Docket Number	22188/07113

**U. S. PATENT DOCUMENTS**

## FOREIGN PATENT DOCUMENTS

FOREIGN PATENT DOCUMENTS				
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document Country Code <sup>2</sup> *Number <sup>4</sup> *Kind Code <sup>5</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
		WO 97/43571	11/20/1997	Ilesic
		WO 98/32999	07/30/1998	Swagelok Marketing
		WO 98/46925	10/22/1998	Swagelok Marketing
		WO 99/24750	05/20/1999	Glynwedd Pipe Systems
		WO 99/34142	07/08/1999	Mikuni Plastics
		WO 01/20214	03/22/01	Swagelok Company

Examiner  
Signature: /James Hewitt/ (06/02/2009)

Date  
Considered

06/02/2009

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Information as indicated on the document itself. If no language is specified, it is the intent of the filer to place a check mark here if English language Translation is attached.

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## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

*(Use as many sheets as necessary)*

Sheet 16 of 17

**Complete if Known**

Application Number	10/573,728
Filing Date	March 28, 2006
First Named Inventor	Peter C. Williams et al.
Art Unit	not yet assigned
Examiner Name	not yet assigned
Attorney Docket Number	22188/07113

## FOREIGN PATENT DOCUMENTS

FOREIGN PATENT DOCUMENTS					
Examiner Initials*	Cite No.*	Foreign Patent Document Country Code <sup>2</sup> "Number" "Kind Code <sup>3</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear
		WO 01/73333	10/04/2001	Swagelok Company	
		WO 02/063194	08/15/2002	Swagelok Company	
		WO 02/063195	08/15/2002	Swagelok Company	

Examiner Signature /James Hewitt/ (06/02/2009) Date Considered 06/02/2009

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Substitute for form 1449/PTO				Complete if Known	
				Application Number	10/573,728
				Filing Date	March 28, 2006
				First Named Inventor	Peter C. Williams et al.
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				Examiner Name	not yet assigned
Sheet	17	of	17	Attorney Docket Number	22188/07113

NON PATENT LITERATURE DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.			
		T <sup>2</sup>			
		International Search Report from PCT/US2004/026436.			
		International Search Report from PCT/US2002/03431			
		International Search Report from PCT/US2002/03430			
		International Search Report from PCT/US2004/026096.			
		International Search Report from PCT/US2004/026094.			
		Parker Hannifin Corporation, Supercase, The Invisible Breakthrough, Parker Supercase Promotion Folder, Parker Hannifin Corporation, Huntsville, AL.			
		Parker Hannifin Corporation, Parker Supercase Process Hardens Stainless Steel to Become More Resistant to Corrosion, News Release, Parker Hannifin Corporation, Huntsville, AL.			
		Parker Hannifin Corporation, Engineering Report - Ferrule Design Supercase, Parker Supercase Bulletin, 4230-B15.1, Parker Hannifin Corporation, Huntsville, AL.			
		Parker Hannifin Corp., Parker Supercase, A Proprietary Process for the Hardening of Stainless Steel Ferrules, Parker Supercase Bulletin, Mar. 1993, 4230-B15.3, Huntsville, AL.			

Examiner Signature	/James Hewitt/ (06/02/2009)	Date Considered	06/02/2009
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